

Tài liệu này được dịch sang tiếng việt bởi:



Xem thêm các tài liệu đã dịch sang tiếng Việt của chúng tôi tại:

http://mientayvn.com/Tai_lieu_da_dich.html

Dịch tài liệu của bạn:

http://mientayvn.com/Tim_hieu_ve_dich_vu_bang_cach_doc.html

Tìm kiếm bản gốc tại đây:

https://drive.google.com/drive/folders/1Zjz7DM7W4iV1qojox5kc_UUiNpx2qSH <u>R?usp=sharing</u>

2.2 Các Mô Hình Kênh Vô Tuyến Di Động Ngoài Trời

Khác với bản chất tĩnh hoặc bán tĩnh của kênh trong nhà, các kênh ngoài trời thường có đặc trưng là độ lợi kênh biến đổi theo thời gian, tùy thuộc vào tốc độ di động của thiết bị đầu cuối. Tùy thuộc vào tốc độ di động, sự thay đổi theo thời gian của độ lợi kênh bị chi phối bởi phổ Doppler, phổ này xác định tương quan miền thời gian trong độ lợi kênh Trong mục này, chúng tôi trình bày cách mô hình hóa sự dao động kênh tương quan thời gian khi thiết bị đầu cuối di động di chuyển. Hơn nữa, chúng tôi trình bày một số phương pháp thực tế để triển khai mô hình kênh di động ngoài trời cho cả kênh truyền phẳng và kênh chọn lọc tần số.

2.2.1 Mô hình FWGN

Kênh ngoài trời được đặc trưng bởi phổ Doppler chi phối sự biến đổi theo thời gian của độ lợi kênh. Các loại phổ Doppler khác nhau có thể được tạo ra bằng mô hình nhiễu Gauss trắng được lọc (FWGN). Mô hình FWGN là một trong những mô hình kênh ngoài trời phổ biến nhất. Mô hình Clarke/Gans là mô hình FWGN cơ bản có thể được điều chỉnh thành các loại khác nhau, tùy thuộc vào cách thức bộ lọc Doppler được triển khai trong miền thời gian hoặc miền tần số. Trước hết chúng ta thảo luận về mô hình Clarke/Gans và sau đó là các biến thể trong miền tần số và miền thời gian của nó.

2.2.1.1 Mô hình Clarke/Gans

Mô hình Clarke/Gans được xây dựng với giả thuyết là các thành phần tán xạ quanh trạm di động được phân bố đồng đều trong đó công suất cho mỗi thành phần bằng nhau [26]. Hình 2.6 biểu diễn sơ đồ khối của mô hình Clarke/Gans, chúng ta thấy có hai nhánh, một nhánh cho phần thực và nhánh còn lại ứng với phần ảo. Trong mỗi nhánh, trước hết nhiễu Gauss phức được tạo ra trong miền tần số và sau đó được lọc bằng bộ lọc Doppler để thành phần tần số chịu dịch chuyển Doppler. Cuối cùng, nhiễu Gauss dịch chuyển Doppler được chuyển thành tín hiệu trong miền thời gian thông qua khối IFFT. Bởi vì đầu ra của khối IFFT phải là tín hiệu thực, đầu vào của nó phải luôn luôn đối xứng liên hợp. Xây dựng độ lợi kênh phức bằng cách thêm phần thực vào phần ảo của đầu ra, kênh có biên độ phân bố Rayleigh được hình thành.













$$h[n] = \sum_{k=-N_{Fading}/2}^{N_{Fading}/2-1} \sqrt{S[k]} e^{i\theta_k} e^{j2\pi nk/_{N_{Fading}}}$$
(2.20)







		7	
Complex Gaussian generator	Doppler filter	Fading channel	
$S(f) \propto 1, f < f_m$		(2.21)	
~~~, v =			

$$S(f) \propto \frac{1}{\sqrt{1 - (f/f_m)^2}} \cdot \left\{ \exp\left(-\frac{\sqrt{2}}{\sigma} \left|\cos^{-1}(f/f_m) - \phi\right|\right) + \exp\left(-\frac{\sqrt{2}}{\sigma} \left|\cos^{-1}(f/f_m) + \phi\right|\right) \right\}, |f| \le f_m$$
(2.22)







$$h_I(t) = 2\sum_{n=1}^{N_0} (\cos \phi_n \cos w_n t) + \sqrt{2} \cos \phi_N \cos w_d t$$
(2.23a)

$$h_{Q}(t) = 2\sum_{n=1}^{N_{0}} (\sin \phi_{n} \cos w_{n}t) + \sqrt{2} \sin \phi_{N} \cos w_{d}t \qquad (2.23b)$$

$$\begin{aligned} \phi_N &= 0\\ \phi_n &= \pi n / (N_0 + 1), \qquad n = 1, 2, \cdots, N_0 \end{aligned}$$
(2.24)  
$$h(t) &= \frac{E_0}{\sqrt{2N_0 + 1}} \left\{ h_I(t) + j h_Q(t) \right\}$$
(2.25)

$$w_n = w_d \cos \theta_n = 2\pi f_m \cos(2\pi n/N), \qquad n = 1, 2, \cdots, N_0$$
 (2.26)

$$E\left\{\left(\frac{E_0h_I(t)}{\sqrt{2N_0+1}}\right)^2\right\} = E\left\{\left(\frac{E_0h_Q(t)}{\sqrt{2N_0+1}}\right)^2\right\} = \frac{E_0^2}{2}$$
(2.27)

$$E\{h^2(t)\} = E_0^2 \tag{2.28}$$

$$E\{h(t)\} = E_0 \tag{2.29}$$

$$E\{h_I(t)h_Q(t)\} = 0 (2.30)$$







$$h_{u,s,n}(t) = \sqrt{\frac{P_n \sigma_{SF}}{M}} \left( \sqrt{\frac{G_{BS}(\theta_{n,m,AcD})}{\sqrt{G_{MS}(\theta_{n,m,AcA})}} \exp(jk d_u \sin \theta_{n,m,AcA})} \exp(jk ||\mathbf{v}|| \cos(\theta_{n,m,AcA} - \theta_v) t) \right)$$

$$(2.31)$$

$$h_n(t) = \sqrt{\frac{P_n}{M}} \sum_{m=1}^{M} \left( \exp(j\Phi_{n,m}) \times \exp\left(j\frac{2\pi}{\lambda} ||\mathbf{v}||\cos(\theta_{n,m,AoA} - \theta_v)t\right) \right)$$
(2.32)





$$\int_{\theta_1}^{\theta_2} P(\theta, \sigma) d\theta = \int_{\theta_1}^{\theta_2} \frac{1}{\sqrt{2}\sigma} e^{\frac{-\sqrt{2}|\theta|}{\sigma}} d\theta$$
$$= -\frac{1}{2} \left( e^{\frac{-\sqrt{2}|\theta_2|}{\sigma}} - e^{\frac{-\sqrt{2}|\theta_1|}{\sigma}} \right)$$
$$= \frac{1}{a(M+1)}$$
(2.33)

$$\int_0^{\theta} P(\theta, \sigma) d\theta = 1/6 \text{ such that } a = 2.$$

$$\theta_{m+1}[\deg] = -\frac{\sigma}{\sqrt{2}} \left[ \ln \left( e^{\frac{-\sqrt{2}\theta_m}{\sigma}} - \frac{2}{a(M+1)} \right) \right],$$
  

$$m = 0, 1, 2, \cdots, \lfloor M/2 \rfloor - 1 \text{ and } \theta_0 = 0^{\circ}$$
(2.34)





Sub-path # (m)	Offset for a 2 deg AS at BS (Macrocell) (degrees)	Offset for a 5 deg AS at BS (Microcell) (degrees)	Offset for a 35 deg AS at MS (degrees)
1, 2	±0.0894	$\pm 0.2236$	±1.5649
3, 4	$\pm 0.2826$	$\pm 0.7064$	$\pm 4.9447$
5, 6	$\pm 0.4984$	$\pm 1.2461$	$\pm 8.7224$
7,8	±0.7431	$\pm 1.8578$	$\pm 13.0045$
9, 10	$\pm 1.0257$	$\pm 2.5642$	$\pm 17.9492$
11, 12	$\pm 1.3594$	$\pm 3.3986$	$\pm 23.7899$
13, 14	$\pm 1.7688$	$\pm$ 4.4220	$\pm \ 30.9538$
15, 16	$\pm 2.2961$	$\pm 5.7403$	$\pm 40.1824$
17, 18	$\pm 3.0389$	$\pm 7.5974$	$\pm 53.1816$
19, 20	$\pm 4.3101$	$\pm 10.7753$	$\pm 75.4274$



$$\theta_m = -\alpha + m \cdot \delta + \phi \quad \text{for} \quad m = 0, 1, \cdots, M-1$$
 (2.35)



Tab	Pedest	rian A	Pedest	rian B	Vehic	ular A	Vehic	ular B	Doppler spectrum
	Relative delay [ns]	Average power [dB]	Relative delay [ns]	Average power [dB]	Relative delay [ns]	Average power [dB]	Relative delay [ns]	Average power [dB]	spectrum
1	0	0.0	0.	0.0	0	0.0	0	-2.5	Classic
2	110	-9.7	200	-0.9	310	-1.0	300	0.0	Classic
3	190	-19.2	800	-4.9	710	-9.0	8900	-12.8	Classic
4	410	-22.8	1200	-8.0	1090	-10.0	12 900	-10.0	Classic
5			2300	-7.8	1730	-15.0	17 100	-25.2	Classic
6			3700	-23.9	2510	-20.0	20 000	-16.0	Classic

# 

Tab	Typical urban (TU)		Bad urban (BU)			
	Relative delay [us]	Average power	Doppler spectrum	Relative delay [us]	Average power	Doppler spectrum
1	0.0	0.189	Classic	0.0	0.164	Classic
2	0.2	0.379	Classic	0.3	0.293	Classic
3	0.5	0.239	Classic	1.0	0.147	GAUS1
4	1.6	0.095	GAUS1	1.6	0.094	GAUS1
5	2.3	0.061	GAUS2	5.0	0.185	GAUS2
6	5.0	0.037	GAUS2	6.6	0.117	GAUS2

Tab	Ту	Typical urban (TU)		Bad urban (BU)		
	Relative delay [us]	Average power	Doppler spectrum	Relative delay [us]	Average power	Doppler spectrum
1	0.0	0.092	Classic	0.0	0.033	Classic
2	0.1	0.115	Classic	0.1	0.089	Classic
3	0.3	0.231	Classic	0.3	0.141	Classic
4	0.5	0.127	Classic	0.7	0.194	GAUS1
5	0.8	0.115	GAUS1	1.6	0.114	GAUS1
6	1.1	0.074	GAUS1	2.2	0.052	GAUS2
7	1.3	0.046	GAUS1	3.1	0.035	GAUS2
8	1.7	0.074	GAUS1	5.0	0.140	GAUS2
9	2.3	0.051	GAUS2	6.0	0.136	GAUS2
10	3.1	0.032	GAUS2	7.2	0.041	GAUS2
11	3.2	0.018	GAUS2	8.1	0.019	GAUS2
12	5.0	0.025	GAUS2	10.0	0.006	GAUS2

Tab	Typical rural area (RA)					
	Relative delay [us]	Average power	Doppler spectrum			
1	0.0	0.602	RICE			
2	0.1	0.241	Classic			
3	0.2	0.096	Classic			
4	0.3	0.036	Classic			
5	0.4	0.018	Classic			
6	0.5	0.006	Classic			

$$y(n) = \sum_{d=0}^{N_D - 1} h_d(n) x(n - d)$$
(2.36)





$$t'_d = floor(t_d/t_s + 0.5) \cdot t_s$$
 (2.37)





$$t_r = t_d/t_s - t_i$$

(2.38)



$$h'_{t_i}(n) = \tilde{h}_{t_i}(n) + \sqrt{1 - t_r} h_{t_d}(n)$$
(2.39)  
$$\tilde{h}_{t_i + 1}(n) = \sqrt{t_r} h_{t_d}(n)$$
(2.40)

Terrain type	SUI channels
А	SUI-5, SUI-6
В	SUI-3, SUI-4
С	SUI-1, SUI-2

$$S(f) = \begin{cases} 1 - 1.72f_0^2 + 0.785f_0^4 & f_0 \le 1\\ 0 & f_0 > 1 \end{cases}$$
(2.41)





SUI 1/2/3/4/5/6 channel						
	Tap 1	Tap 2	Tap 3			
Delay [µs]	0/0/0/0/0/0	0.4/0.4/0.4/1.5/4/14	0.9/1.1/0.9/4/10/20			
Power (omni ant.) [dB]	0/0/0/0/0/0	-15/-12/-5/-4/-5/-10	-20/-15/-10/-8/-10/-14			
90% K-factor (omni)	4/2/1/0/0/0	0/0/0/0/0/0	0/0/0/0/0/0			
75% K-factor (omni)	20/11/7/1/0/0	0/0/0/0/0/0	0/0/0/0/0/0			
50% K-factor (omni)	-/-/-/2/1	-/-/-/0/0	-/-/-/0/0			
Power (30° ant.) [dB]	0/0/0/0/0/0	-21/-18/-11/-10/-11/-16	-32/-27/-22/-20/-22/-26			
90% K-factor (30° ant.)	16/8/3/1/0/0	0/0/0/0/0/0	0/0/0/0/0/0			
75% K-factor (30° ant.)	72/36/19/5/2	0/0/0/0/0/0	0/0/0/0/0/0			
50% K-factor (30° ant.)	-/-/-/7/5	-/-/-/0/0	-/-/-/0/0			
Doppler [Hz]	0.4/0.2/0.4/0.2/2/0.4	0.3/0.15/0.3/0.15/1.5/0.3	0.5/0.25/0.5/0.25/2.5/0.5			
Antenna correlation	Ĥ	$p_{ENV} = 0.7/0.5/0.4/0.3/0.3$	5/0.3			
Gain reduction factor		$G_{RF} = 0/2/3/4/4/4  dt$	3			
Normalization factor	$F_{omni} = -0.1771/-0.3930/-1.5113/-1.9218/-1.5113/-0.5683dB$ $F_{30^{\circ}} = -0.0371/-0.0768/-0.3573/-0.4532/-0.3573/-0.1184dB$					
Terrain type		C/C/B/B/A/A				
Omni antenna:	$\sigma_{ au}=0.1$	11/0.202/0.264/1.257/2.8	342/5.240 <i>µs</i>			
overall K	K=3.3/16/0.5/0.2/0.1/0.1(90%)					
	K = 10.4/5.1/1.6/0.6/0.3/0.3 (75%),					
		K = -/-/-/1.0/1.0 (50%)	)			
30° antenna:	$\sigma_{ au}=0.$	042/0.69/0.123/0.563/1.2	76/2.370 µs			
overall K	K	= 14.0/6.9/2.2/1.0/0.4/0.4	(90%),			
	K	=44.2/21.8/7.0/3.2/1.3/1.3	(75%),			
		K = -/-/-/4.2/4.2 (50%)	)			





I





$$\frac{1}{N_0} = \int_{-\pi+\bar{\theta}}^{\pi+\bar{\theta}} e^{\frac{-\sqrt{2}|\theta-\bar{\theta}|}{\sigma}} G(\theta) d\theta, -\pi+\bar{\theta} \le \theta \le \pi+\bar{\theta}$$
(3.64)

$$P(\theta, \sigma, \bar{\theta}) = N_o e^{\frac{-\sqrt{2}|\theta-\bar{\theta}|}{\sigma}}, \qquad -\pi + \bar{\theta} \le \theta \le \pi + \bar{\theta}$$
(3.66)

$$\frac{1}{N_0} = \int_{-\pi + \bar{\theta}}^{\pi + \bar{\theta}} e^{\frac{-\sqrt{2}|\theta - \bar{\theta}|}{\sigma}} d\theta = \sqrt{2}\sigma \left(1 - e^{-\sqrt{2}\pi/\sigma}\right)$$
(3.67)





$$h_{s,u,n}(t) = \sqrt{\frac{n \text{th Path}}{\text{Power}}} \sum_{m=1}^{M} \left\{ \begin{pmatrix} \text{BS} \\ \text{PAS} \end{pmatrix} \cdot \begin{pmatrix} \text{Phase due to} \\ \text{BS Array} \end{pmatrix} \cdot \begin{pmatrix} \text{MS} \\ \text{PAS} \end{pmatrix} \cdot \begin{pmatrix} \text{Phase due to} \\ \text{MS Array} \end{pmatrix} \right\}$$
(3.69)





$$h_{u,s,n}(t) = \sqrt{\frac{P_n}{M}} \sum_{m=1}^{M} \begin{pmatrix} \sqrt{G_{BS}(\theta_{n,m,AoD})} \exp(j [kd_s \sin(\theta_{n,m,AoD}) + \Phi_{n,m}]) \times \\ \sqrt{G_{MS}(\theta_{n,m,AoA})} \exp(j k d_u \sin(\theta_{n,m,AoA})) \times \\ \exp(j k \|\mathbf{v}\| \cos(\theta_{n,m,AoA} - \theta_v) t) \end{pmatrix}$$
(3.70)


$$h_{s,u,n=1}^{LOS}(t) = \sqrt{\frac{1}{K+1}} h_{s,u,1}(t) + \sqrt{\frac{K}{K+1}} \begin{pmatrix} \sqrt{G_{BS}(\theta_{BS})} \exp(jkd_s \sin \theta_{BS}) \times \\ \sqrt{G_{MS}(\theta_{MS})} \exp(jkd_u \sin \theta_{MS} + \Phi_{LOS}) \times \\ \exp(jk \|\mathbf{v}\| \cos(\theta_{MS} - \theta_v) t) \end{pmatrix}$$
(3.72)

$$h_{s,u,n}^{LOS}(t) = \sqrt{\frac{1}{K+1}} h_{s,u,n}(t), \text{ for } n \neq 1$$
 (3.73)



$$\rho(d) = E\left\{h_{1,u,n}(t) \cdot h_{2,u,n}^*(t)\right\} = \int_{-\pi}^{\pi} e^{\frac{j2\pi d \sin\theta}{\lambda}} P(\theta) d\theta$$
(3.74)

$$\rho_{SCM}^{sc}(d) = \frac{1}{M} \sum_{m=1}^{M} e^{\frac{j2\pi d \sin\theta_{n,m,AoA}}{\lambda}}$$
(3.75)



$$\rho_{SCM}^{tc}(\tau) = E\left\{h_{s,u,n}(t+\tau) \cdot h_{s,u,n}^{*}(t)\right\}$$
$$= \frac{1}{M} \sum_{m=1}^{M} e^{\frac{j2\pi\tau||\mathbf{v}||\cos(\theta_{n,m,AoA}-\theta_{\mathbf{v}})}{\lambda}}$$
(3.76)









(a)  $\overline{\theta} = 22.5$ 

(b)  $\bar{\theta}$ =67.5

_

1	





$$\mathbf{H} = \mathbf{U} \mathbf{\Sigma} \mathbf{V}^H \tag{9.1}$$



$$\mathbf{H} = \mathbf{U} \mathbf{\Sigma} \mathbf{V}^{H}$$

$$= \underbrace{\left[\mathbf{U}_{N_{\min}} \mathbf{U}_{N_{R}-N_{\min}}\right]}_{\mathbf{U}} \underbrace{\left[\begin{array}{c} \mathbf{\Sigma}_{N_{\min}} \\ \mathbf{0}_{N_{R}-N_{\min}} \end{array}\right]}_{\Sigma} \mathbf{V}^{H}$$

$$= \mathbf{U}_{N_{\min}} \mathbf{\Sigma}_{N_{\min}} \mathbf{V}^{H}$$
(9.2)

$$\mathbf{H} = \mathbf{U}[\underbrace{\boldsymbol{\Sigma}_{N_{\min}} \mathbf{0}_{N_T - N_{\min}}}_{\boldsymbol{\Sigma}}] \underbrace{\begin{bmatrix} \mathbf{V}_{N_{\min}}^{H} \\ \mathbf{V}_{N_T - N_{\min}}^{H} \end{bmatrix}}_{\mathbf{V}^{H}}$$

$$= \mathbf{U} \underbrace{\boldsymbol{\Sigma}_{N_{\min}} \mathbf{V}_{N_{\min}}^{H}}$$
(9.3)

$$\mathbf{H}\mathbf{H}^{H} = \mathbf{U}\mathbf{\Sigma}\mathbf{\Sigma}^{H}\mathbf{U}^{H} = \mathbf{Q}\mathbf{\Lambda}\mathbf{Q}^{H}$$
(9.4)

$$\lambda_{i} = \begin{cases} \sigma_{i}^{2}, & \text{if } i = 1, 2, \cdots, N_{\min} \\ 0, & \text{if } i = N_{\min} + 1, \cdots, N_{R}. \end{cases}$$
(9.5)

$$\mathbf{H}\underbrace{[\mathbf{x}_{1} \ \mathbf{x}_{2} \cdots \mathbf{x}_{n}]}_{\mathbf{X}} = \underbrace{[\mathbf{x}_{1} \ \mathbf{x}_{2} \cdots \mathbf{x}_{n}]}_{\mathbf{X}} \mathbf{\Lambda}_{\text{non-}H}$$
(9.6)

 $\mathbf{H} = \mathbf{X} \mathbf{\Lambda}_{\text{non-}H} \mathbf{X}^{-1} \tag{9.7}$ 

$$\|\mathbf{H}\|_{F}^{2} = \operatorname{Tr}(\mathbf{H}\mathbf{H}^{H}) = \sum_{i=1}^{N_{R}} \sum_{j=1}^{N_{T}} |h_{ij}|^{2}.$$
 (9.8)

$$\|\mathbf{H}\|_{F}^{2} = \|\mathbf{Q}^{H}\mathbf{H}\|_{F}^{2}$$
  

$$= \operatorname{Tr}(\mathbf{Q}^{H}\mathbf{H}\mathbf{H}^{H}\mathbf{Q})$$
  

$$= \operatorname{Tr}(\mathbf{Q}^{H}\mathbf{Q}\mathbf{\Lambda}\mathbf{Q}^{H}\mathbf{Q})$$
  

$$= \operatorname{Tr}(\mathbf{\Lambda})$$
  

$$= \sum_{i=1}^{N_{\min}} \lambda_{i}$$
  

$$= \sum_{i=1}^{N_{\min}} \sigma_{i}^{2}$$
  
(9.9)

$$\mathbf{y} = \sqrt{\frac{\mathsf{E}_x}{N_T}} \mathbf{H} \mathbf{x} + \mathbf{z}$$
(9.10)



$$I(\mathbf{x}; \mathbf{y}) = H(\mathbf{y}) - H(\mathbf{z}) \tag{9.15}$$

$$\mathbf{R}_{yy} = E\{\mathbf{y}\mathbf{y}^{H}\} = E\left\{\left(\sqrt{\frac{\mathsf{E}_{x}}{N_{T}}}\mathbf{H}\mathbf{x} + \mathbf{z}\right)\left(\sqrt{\frac{\mathsf{E}_{x}}{N_{T}}}\mathbf{x}^{H}\mathbf{H}^{H} + \mathbf{z}^{H}\right)\right\}$$
$$= E\left\{\left(\frac{\mathsf{E}_{x}}{N_{T}}\mathbf{H}\mathbf{x}\mathbf{x}^{H}\mathbf{H}^{H} + \mathbf{z}\mathbf{z}^{H}\right)\right\}$$
$$= \frac{\mathsf{E}_{x}}{N_{T}}E\{\mathbf{H}\mathbf{x}\mathbf{x}^{H}\mathbf{H}^{H} + \mathbf{z}\mathbf{z}^{H}\}$$
$$= \frac{\mathsf{E}_{x}}{N_{T}}\mathbf{H}E\{\mathbf{x}\mathbf{x}^{H}\}\mathbf{H}^{H} + E\{\mathbf{z}\mathbf{z}^{H}\}$$
$$= \frac{\mathsf{E}_{x}}{N_{T}}\mathbf{H}\mathbf{R}_{xx}\mathbf{H}^{H} + \mathsf{N}_{0}\mathbf{I}_{N_{R}}$$
$$(9.16)$$

 $H(\mathbf{y}) = \log_2 \{ \det(\pi e \mathbf{R}_{yy}) \}$  $H(\mathbf{z}) = \log_2 \{ \det(\pi e \mathbf{N}_0 \mathbf{I}_{N_R}) \}$ (9.17)

$$I(\mathbf{x}; \mathbf{y}) = \log_2 \det \left( \mathbf{I}_{N_R} + \frac{\mathbf{E}_x}{N_T \mathbf{N}_0} \mathbf{H} \mathbf{R}_{xx} \mathbf{H}^H \right) \text{bps/Hz.}$$
(9.18)

$$C = \max_{\mathrm{T}r(\mathbf{R}_{xx})=N_T} \log_2 \det \left( \mathbf{I}_{N_R} + \frac{\mathsf{E}_x}{N_T \mathsf{N}_0} \mathbf{H} \mathbf{R}_{xx} \mathbf{H}^H \right) \mathrm{bps}/\mathrm{Hz}.$$
(9.19)

$$\tilde{\mathbf{y}} = \sqrt{\frac{\mathsf{E}_{\mathbf{x}}}{N_T}} \mathbf{U}^H \mathbf{H} \mathbf{V} \tilde{\mathbf{x}} + \tilde{\mathbf{z}}$$
(9.20)

$$ilde{\mathbf{y}} = \sqrt{\frac{\mathsf{E}_{\mathrm{x}}}{N_T}} \mathbf{\Sigma} \tilde{\mathbf{x}} + \tilde{\mathbf{z}}$$

$$\tilde{y}_i = \sqrt{\frac{\mathsf{E}_x}{N_T}} \sqrt{\lambda_i} \tilde{x}_i + \tilde{z}_i, \quad i = 1, 2, \cdots, r.$$
(9.21)

$$C_i(\gamma_i) = \log_2\left(1 + \frac{\mathsf{E}_{\mathsf{x}}\gamma_i}{N_T\mathsf{N}_0}\lambda_i\right), \quad i = 1, 2, \cdots, r.$$
(9.22)

$$E\{\mathbf{x}^{H}\mathbf{x}\} = \sum_{i=1}^{N_{T}} E\{|x_{i}|^{2}\} = N_{T}.$$
(9.23)

$$C = \sum_{i=1}^{r} C_i(\gamma_i) = \sum_{i=1}^{r} \log_2 \left( 1 + \frac{\mathsf{E}_x \gamma_i}{N_T \mathsf{N}_0} \lambda_i \right)$$
(9.24)

$$C = \max_{\{\gamma_i\}} \sum_{i=1}^r \log_2\left(1 + \frac{\mathsf{E}_x \gamma_i}{N_T \mathsf{N}_0} \lambda_i\right)$$
(9.25)

$$\gamma_i^{opt} = \left(\mu - \frac{N_T N_0}{\mathsf{E}_{\mathsf{x}} \lambda_i}\right)^+, \quad i = 1, \cdots, r$$
(9.26)

$$\sum_{i=1}^{r} \gamma_i^{opt} = N_T. \tag{9.27}$$

$$(x)^{+} = \begin{cases} x & \text{if } x \ge 0\\ 0 & \text{if } x < 0 \end{cases}.$$
(9.28)



$$C = \log_2 \det \left( \mathbf{I}_{N_R} + \frac{\mathbf{E}_x}{N_T \mathbf{N}_0} \mathbf{Q} \mathbf{\Lambda} \mathbf{Q}^H \right) = \log_2 \det \left( \mathbf{I}_{N_R} + \frac{\mathbf{E}_x}{N_T \mathbf{N}_0} \mathbf{\Lambda} \right)$$
$$= \sum_{i=1}^r \log_2 \left( 1 + \frac{\mathbf{E}_x}{N_T \mathbf{N}_0} \lambda_i \right)$$
(9.31)





$$\lambda_i = \frac{\zeta}{N}, \quad i = 1, 2, \cdots, N. \tag{9.32}$$

$$\mathbf{H}\mathbf{H}^{H} = \mathbf{H}^{H}\mathbf{H} = \frac{\zeta}{N}\mathbf{I}_{N} \tag{9.33}$$

$$C = N \log_2 \left( 1 + \frac{\zeta \mathsf{E}_x}{\mathsf{N}_0 N} \right). \tag{9.34}$$

$$C_{SIMO} = \log_2 \left( 1 + \frac{\mathsf{E}_x}{\mathsf{N}_0} \|\mathbf{h}\|_F^2 \right).$$
(9.35)

$$C_{SIMO} = \log_2 \left( 1 + \frac{\mathsf{E}_x}{\mathsf{N}_0} N_R \right). \tag{9.36}$$



$$C_{MISO} = \log_2 \left( 1 + \frac{\mathsf{E}_x}{N_T \mathsf{N}_0} \|\mathbf{h}\|_F^2 \right).$$
(9.37)

$$C_{MISO} = \log_2 \left( 1 + \frac{\mathsf{E}_x}{\mathsf{N}_0} \right). \tag{9.38}$$

$$y = \sqrt{\mathsf{E}_{\mathsf{x}}}\mathbf{h} \cdot \frac{\mathbf{h}^{H}}{\|\mathbf{h}\|} x + z = \sqrt{\mathsf{E}_{\mathsf{x}}} \|\mathbf{h}\| x + z$$
(9.39)

$$C_{MISO} = \log_2\left(1 + \frac{\mathsf{E}_x}{\mathsf{N}_0} \|\mathbf{h}\|_F^2\right) = \log_2\left(1 + \frac{\mathsf{E}_x}{\mathsf{N}_0} N_T\right). \tag{9.40}$$



$$\overline{C} = E\{C(\mathbf{H})\} = E\left\{\max_{\mathrm{Tr}(\mathbf{R}_{xx})=N_T}\log_2\det\left(\mathbf{I}_{N_R} + \frac{\mathsf{E}_x}{N_T\mathsf{N}_0}\mathbf{H}\mathbf{R}_{xx}\mathbf{H}^H\right)\right\}$$
(9.41)

$$\overline{C_{OL}} = E\left\{\sum_{i=1}^{r} \log_2\left(1 + \frac{\mathsf{E}_{\mathsf{x}}}{N_T \mathsf{N}_0}\lambda_i\right)\right\}.$$
(9.42)

$$\overline{C}_{CL} = E \left\{ \max_{\sum_{i=1}^{r} \gamma_i = N_T} \sum_{i=1}^{r} \log_2 \left( 1 + \frac{\mathsf{E}_x}{N_T \mathsf{N}_0} \gamma_i \lambda_i \right) \right\}$$
(9.43)

$$= E\left\{\sum_{i=1}^{r} \log_2\left(1 + \frac{\mathsf{E}_x}{N_T \mathsf{N}_0} \gamma_i^{opt} \lambda_i\right)\right\}.$$
(9.44)

$$P_{out}(R) = \Pr(C(\mathbf{H}) < R) \tag{9.45}$$

$$C \approx \max_{\operatorname{Tr}(\mathbf{R}_{xx})=N} \log_2 \det(\mathbf{R}_{xx}) + \log_2 \det\left(\frac{\mathsf{E}_x}{N\mathsf{N}_0}\mathbf{H}_w\mathbf{H}_w^H\right)$$
(9.46)

$$\mathbf{H} = \mathbf{R}_r^{1/2} \mathbf{H}_w \mathbf{R}_t^{1/2} \tag{9.47}$$

$$C = \log_2 \det \left( \mathbf{I}_{N_R} + \frac{\mathbf{E}_x}{N_T \mathbf{N}_0} \mathbf{R}_r^{1/2} \mathbf{H}_w \mathbf{R}_t \mathbf{H}_w^H \mathbf{R}_r^{H/2} \right).$$
(9.48)

$$C \approx \log_2 \det\left(\frac{\mathsf{E}_{\mathsf{x}}}{N_T \mathsf{N}_0} \mathbf{H}_w \mathbf{H}_w^H\right) + \log_2 \det(\mathbf{R}_r) + \log_2 \det(\mathbf{R}_t). \tag{9.49}$$

$$\log_2 \det(\mathbf{R}_r) + \log_2 \det(\mathbf{R}_t). \tag{9.50}$$



$$\det(\mathbf{R}) = \prod_{i=1}^{N} \lambda_i. \tag{9.51}$$

$$\left(\Pi_{i=1}^{N}\lambda_{i}\right)^{\frac{1}{N}} \leq \frac{1}{N}\sum_{i=1}^{N}\lambda_{i} = 1.$$
 (9.52)

$$\log_2 \det(\mathbf{R}) \le 0 \tag{9.53}$$

$$\mathbf{R}_{t} = \begin{bmatrix} 1 & 0.76e^{j0.17\pi} & 0.43e^{j0.35\pi} & 0.25e^{j0.53\pi} \\ 0.76e^{-j0.17\pi} & 1 & 0.76e^{j0.17\pi} & 0.43e^{j0.35\pi} \\ 0.43e^{-j0.35\pi} & 0.76e^{-j0.17\pi} & 1 & 0.76e^{j0.17\pi} \\ 0.25e^{-j0.53\pi} & 0.43e^{-j0.35\pi} & 0.76e^{-j0.17\pi} & 1 \end{bmatrix}$$
(9.54)



		_	

$$\mathbf{y}_{MAC} = \mathbf{H}_{1}^{\mathrm{UL}} \mathbf{x}_{1} + \mathbf{H}_{2}^{\mathrm{UL}} \mathbf{x}_{2} + \dots + \mathbf{H}_{K}^{\mathrm{UL}} \mathbf{x}_{K} + \mathbf{z}$$

$$= \underbrace{\left[\mathbf{H}_{1}^{\mathrm{UL}} \mathbf{H}_{2}^{\mathrm{UL}} \cdots \mathbf{H}_{K}^{\mathrm{UL}}\right]}_{=\mathbf{H}^{\mathrm{UL}}} \begin{bmatrix} \mathbf{x}_{1} \\ \vdots \\ \mathbf{x}_{K} \end{bmatrix} + \mathbf{z} = \mathbf{H}^{\mathrm{UL}} \begin{bmatrix} \mathbf{x}_{1} \\ \vdots \\ \mathbf{x}_{K} \end{bmatrix} + \mathbf{z} \qquad (13.1)$$



$$\mathbf{y}_u = \mathbf{H}_u^{\mathrm{DL}} \mathbf{x} + \mathbf{z}_u, \quad u = 1, 2, \cdots, K$$
(13.2)

Ī

$$\begin{bmatrix}
\mathbf{y}_{1} \\
\mathbf{y}_{2} \\
\vdots \\
\mathbf{y}_{K}
\end{bmatrix} = \begin{bmatrix}
\mathbf{H}_{1}^{\mathrm{DL}} \\
\mathbf{H}_{2}^{\mathrm{DL}} \\
\vdots \\
\mathbf{H}_{K}^{\mathrm{DL}}
\end{bmatrix} \mathbf{x} + \begin{bmatrix}
\mathbf{z}_{1} \\
\mathbf{z}_{2} \\
\vdots \\
\mathbf{z}_{K}
\end{bmatrix}$$
(13.3)





$$R_{1} \leq \log_{2} \left( 1 + \left\| \mathbf{H}_{1}^{\mathrm{UL}} \right\|^{2} P_{1} \right)$$

$$R_{2} \leq \log_{2} \left( 1 + \left\| \mathbf{H}_{2}^{\mathrm{UL}} \right\|^{2} P_{2} \right)$$

$$R_{1} + R_{2} \leq \log_{2} \left( 1 + \left\| \mathbf{H}_{1}^{\mathrm{UL}} \right\|^{2} P_{1} + \left\| \mathbf{H}_{2}^{\mathrm{UL}} \right\|^{2} P_{2} \right)$$
(13.4)



$$\mathbf{y}_{MAC} = \mathbf{H}_{1}^{\mathrm{UL}} x_{1} + \mathbf{H}_{2}^{\mathrm{UL}} x_{2} + \begin{bmatrix} z_{1} \\ z_{2} \end{bmatrix}$$

$$= \begin{bmatrix} \mathbf{H}_{1}^{\mathrm{UL}} \mathbf{H}_{2}^{\mathrm{UL}} \end{bmatrix} \begin{bmatrix} x_{1} \\ x_{2} \end{bmatrix} + \begin{bmatrix} z_{1} \\ z_{2} \end{bmatrix}$$
(13.5)





$$\underbrace{\begin{bmatrix} y_1 \\ y_2 \end{bmatrix}}_{\mathbf{y}_{BC}} = \underbrace{\begin{bmatrix} \mathbf{H}_1^{DL} \\ \mathbf{H}_2^{DL} \end{bmatrix}}_{\mathbf{H}^{DL}} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} z_1 \\ z_2 \end{bmatrix}$$
(13.7)

$$\mathbf{H}^{\mathrm{DL}} = \underbrace{\begin{bmatrix} l_{11} & 0\\ l_{21} & l_{22} \end{bmatrix}}_{\mathbf{L}} \underbrace{\begin{bmatrix} \mathbf{q}_1\\ \mathbf{q}_2 \end{bmatrix}}_{\mathbf{Q}}$$
(13.8)

$$l_{11} = \left\| \mathbf{H}_{1}^{\text{DL}} \right\|, \mathbf{q}_{1} = \frac{1}{l_{11}} \mathbf{H}_{1}^{\text{DL}},$$
$$l_{21} = \mathbf{q}_{1} \cdot \left( \mathbf{H}_{2}^{\text{DL}} \right)^{H},$$
$$l_{22} = \left\| \mathbf{H}_{2}^{\text{DL}} - l_{21} \mathbf{q}_{1} \right\|,$$
and 
$$\mathbf{q}_{2} = \frac{1}{l_{22}} \left( \mathbf{H}_{2}^{\text{DL}} - l_{21} \mathbf{q}_{1} \right).$$

 $\underbrace{\begin{bmatrix} x_1\\ x_2 \end{bmatrix}}_{\mathbf{x}} = \mathbf{Q}^H \begin{bmatrix} \tilde{x}_1\\ \tilde{x}_2 - \frac{1}{l_{22}} l_{21} \tilde{x}_1 \end{bmatrix}$ (13.9)

$$\mathbf{y}_{BC} = \mathbf{H}^{DL}\mathbf{x} + \mathbf{z}$$

$$= \begin{bmatrix} l_{11} & 0 \\ l_{21} & l_{22} \end{bmatrix} \begin{bmatrix} \mathbf{q}_1 \\ \mathbf{q}_2 \end{bmatrix} \begin{bmatrix} \mathbf{q}_1^H \mathbf{q}_2^H \end{bmatrix} \begin{bmatrix} \tilde{x}_1 \\ \tilde{x}_2 - \frac{1}{l_{22}} l_{21} \tilde{x}_1 \end{bmatrix} + \mathbf{z}$$

$$= \begin{bmatrix} l_{11} & 0 \\ 0 & l_{22} \end{bmatrix} \begin{bmatrix} \tilde{x}_1 \\ \tilde{x}_2 \end{bmatrix} + \mathbf{z}$$

$$= \begin{bmatrix} \|\mathbf{H}_1^{DL}\| & 0 \\ 0 & \|\mathbf{H}_2^{DL} - l_{12}\mathbf{q}_1\| \end{bmatrix} \begin{bmatrix} \tilde{x}_1 \\ \tilde{x}_2 \end{bmatrix} + \mathbf{z}$$
(13.10)

$$E\Big\{|x_1|^2\Big\} = E\Big\{|\tilde{x}_1|^2\Big\} = \alpha P$$
  
and  $E\Big\{|x_2|^2\Big\} = E\Big\{\Big|\tilde{x}_2 - \frac{l_{21}}{l_{22}}\tilde{x}_1\Big|^2\Big\} = (1-\alpha)P, \quad \alpha \in [0,1].$ 

$$R_{1} = \log\left(1 + \|\mathbf{H}_{1}^{\mathrm{DL}}\|^{2} \frac{\alpha P}{\sigma_{z}^{2}}\right), \qquad (13.11)$$

$$R_{2} = \log_{2} \left( 1 + \left\| \mathbf{H}_{2}^{\text{DL}} - l_{21} \mathbf{q}_{1} \right\|^{2} \frac{(1-\alpha)P}{\sigma_{z}^{2}} \right).$$
(13.12)

$$R_{2} = \log_{2} \left( 1 + \left\| \mathbf{H}_{2}^{\text{DL}} \right\|^{2} \frac{(1-\alpha)P}{\sigma_{z}^{2}} \right).$$
(13.13)

$$y_{u} = \mathbf{H}_{u}^{\mathrm{DL}} \begin{bmatrix} \tilde{x}_{1} \\ \tilde{x}_{2} \\ \vdots \\ \tilde{x}_{K} \end{bmatrix} + z_{u}, \quad u = 1, 2, \cdots, K.$$
(13.14)

$$\underbrace{\begin{bmatrix} y_1 \\ y_2 \\ \vdots \\ y_K \end{bmatrix}}_{\mathbf{y}_{BC}} = \underbrace{\begin{bmatrix} \mathbf{H}_1^{DL} \\ \mathbf{H}_2^{DL} \\ \vdots \\ \mathbf{H}_K^{DL} \end{bmatrix}}_{\mathbf{H}^{DL}} \underbrace{\begin{bmatrix} \tilde{x}_1 \\ \tilde{x}_2 \\ \vdots \\ \tilde{x}_K \end{bmatrix}}_{\mathbf{x}} + \underbrace{\begin{bmatrix} z_1 \\ z_2 \\ \vdots \\ \vdots \\ z_K \end{bmatrix}}_{\mathbf{z}}$$

(13.15)





$$\mathbf{y}_{u} = \mathbf{H}_{u}^{\mathrm{DL}} \sum_{k=1}^{K} \mathbf{W}_{k} \tilde{\mathbf{x}}_{k} + \mathbf{z}_{u}$$

$$= \mathbf{H}_{u}^{\mathrm{DL}} \mathbf{W}_{u} \tilde{\mathbf{x}}_{u} + \sum_{k=1, \ k \neq u}^{K} \mathbf{H}_{u}^{\mathrm{DL}} \mathbf{W}_{k} \tilde{\mathbf{x}}_{k} + \mathbf{z}_{u}$$
(13.16)

$$\begin{bmatrix} \mathbf{y}_{1} \\ \mathbf{y}_{2} \\ \mathbf{y}_{3} \end{bmatrix} = \underbrace{\begin{bmatrix} \mathbf{H}_{1}^{\mathrm{DL}} & \mathbf{H}_{1}^{\mathrm{DL}} & \mathbf{H}_{1}^{\mathrm{DL}} \\ \mathbf{H}_{2}^{\mathrm{DL}} & \mathbf{H}_{2}^{\mathrm{DL}} & \mathbf{H}_{2}^{\mathrm{DL}} \\ \mathbf{H}_{3}^{\mathrm{DL}} & \mathbf{H}_{3}^{\mathrm{DL}} & \mathbf{H}_{3}^{\mathrm{DL}} \end{bmatrix} \begin{bmatrix} \mathbf{W}_{1}\tilde{\mathbf{x}}_{1} \\ \mathbf{W}_{2}\tilde{\mathbf{x}}_{2} \\ \mathbf{W}_{3}\tilde{\mathbf{x}}_{3} \end{bmatrix}}_{\mathbf{x}} + \begin{bmatrix} \mathbf{z}_{1} \\ \mathbf{z}_{2} \\ \mathbf{z}_{3} \end{bmatrix}$$

$$= \begin{bmatrix} \mathbf{H}_{1}^{\mathrm{DL}} \mathbf{W}_{1} & \mathbf{H}_{3}^{\mathrm{DL}} \mathbf{W}_{2} & \mathbf{H}_{3}^{\mathrm{DL}} \mathbf{W}_{3} \\ \mathbf{H}_{2}^{\mathrm{DL}} \mathbf{W}_{1} & \mathbf{H}_{2}^{\mathrm{DL}} \mathbf{W}_{2} & \mathbf{H}_{2}^{\mathrm{DL}} \mathbf{W}_{3} \\ \mathbf{H}_{3}^{\mathrm{DL}} \mathbf{W}_{1} & \mathbf{H}_{3}^{\mathrm{DL}} \mathbf{W}_{2} & \mathbf{H}_{2}^{\mathrm{DL}} \mathbf{W}_{3} \\ \mathbf{H}_{3}^{\mathrm{DL}} \mathbf{W}_{1} & \mathbf{H}_{3}^{\mathrm{DL}} \mathbf{W}_{2} & \mathbf{H}_{3}^{\mathrm{DL}} \mathbf{W}_{3} \\ \end{bmatrix} \begin{bmatrix} \tilde{\mathbf{x}}_{1} \\ \tilde{\mathbf{x}}_{2} \\ \tilde{\mathbf{x}}_{3} \end{bmatrix} + \begin{bmatrix} \mathbf{z}_{1} \\ \mathbf{z}_{2} \\ \tilde{\mathbf{x}}_{3} \end{bmatrix}$$

$$(13.17)$$

$$\mathbf{H}_{u}^{\mathrm{DL}}\mathbf{W}_{k} = \mathbf{0}_{N_{M,u} \times N_{M,u}}, \forall u \neq k$$
(13.18)

$$\mathbf{y}_u = \mathbf{H}_u^{\mathrm{DL}} \mathbf{W}_u \tilde{\mathbf{x}}_u + \mathbf{z}_u, \quad u = 1, 2, \cdots, K$$
(13.19)

$$\tilde{\mathbf{H}}_{u}^{\mathrm{DL}} = \left[ \left( \mathbf{H}_{1}^{\mathrm{DL}} \right)^{H} \cdots \left( \mathbf{H}_{u-1}^{\mathrm{DL}} \right)^{H} \left( \mathbf{H}_{u+1}^{\mathrm{DL}} \right)^{H} \cdots \left( \mathbf{H}_{K}^{\mathrm{DL}} \right)^{H} \right]^{H}$$
(13.20)

$$\tilde{\mathbf{H}}_{u}^{\mathrm{DL}}\mathbf{W}_{u} = \mathbf{0}_{(N_{M,total} - N_{M,u}) \times N_{M,u}}, \quad u = 1, 2, \cdots, K$$
(13.21)

 $\begin{bmatrix} \mathbf{y}_1 \\ \mathbf{y}_2 \\ \mathbf{y}_3 \end{bmatrix} = \begin{bmatrix} \mathbf{H}_1^{\mathrm{DL}} \mathbf{W}_1 & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{H}_2^{\mathrm{DL}} \mathbf{W}_2 & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{H}_3^{\mathrm{DL}} \mathbf{W}_3 \end{bmatrix} \begin{bmatrix} \tilde{\mathbf{x}}_1 \\ \tilde{\mathbf{x}}_2 \\ \tilde{\mathbf{x}}_3 \end{bmatrix} + \begin{bmatrix} \mathbf{z}_1 \\ \mathbf{z}_2 \\ \mathbf{z}_3 \end{bmatrix}$ (13.22)

$$\tilde{\mathbf{H}}_{u}^{\mathrm{DL}} = \tilde{\mathbf{U}}_{u} \tilde{\Lambda}_{u} \Big[ \tilde{\mathbf{V}}_{u}^{\mathrm{non-zero}} \, \tilde{\mathbf{V}}_{u}^{\mathrm{zero}} \Big]^{H}$$
(13.23)

$$\tilde{\mathbf{H}}_{u}^{\mathrm{DL}} \tilde{\mathbf{V}}_{u}^{\mathrm{zero}} = \tilde{\mathbf{U}}_{u} \begin{bmatrix} \tilde{\boldsymbol{\Lambda}}_{u}^{\mathrm{non-zero}} & \mathbf{0} \end{bmatrix} \begin{bmatrix} \left( \tilde{\mathbf{V}}_{u}^{\mathrm{non-zero}} \right)^{H} \\ \left( \tilde{\mathbf{V}}_{u}^{\mathrm{zero}} \right)^{H} \end{bmatrix} \tilde{\mathbf{V}}_{u}^{\mathrm{zero}}$$

$$= \tilde{\mathbf{U}}_{u} \tilde{\boldsymbol{\Lambda}}_{u}^{\mathrm{non-zero}} \left( \tilde{\mathbf{V}}_{u}^{\mathrm{non-zero}} \right)^{H} \tilde{\mathbf{V}}_{u}^{\mathrm{zero}}$$

$$= \tilde{\mathbf{U}}_{u} \tilde{\boldsymbol{\Lambda}}_{u}^{\mathrm{non-zero}} \mathbf{0}$$

$$= \mathbf{0}$$
(13.24)


$$\tilde{\mathbf{H}}_{1}^{\mathrm{DL}} = \tilde{\mathbf{U}}_{1}\tilde{\Lambda}_{1} \begin{bmatrix} \tilde{\mathbf{V}}_{1}^{\mathrm{non-zero}} \tilde{\mathbf{V}}_{1}^{\mathrm{zero}} \end{bmatrix}^{H}$$

$$= \begin{bmatrix} \tilde{\mathbf{u}}_{11} & \tilde{\mathbf{u}}_{12} \end{bmatrix} \begin{bmatrix} \tilde{\lambda}_{11} & 0 & 0 & 0 \\ 0 & \tilde{\lambda}_{12} & 0 & 0 \end{bmatrix} \begin{bmatrix} \tilde{\mathbf{v}}_{11} & \tilde{\mathbf{v}}_{12} & \tilde{\mathbf{v}}_{13} & \tilde{\mathbf{v}}_{14} \end{bmatrix}^{H}$$
(13.25)

$$\tilde{\mathbf{H}}_{2}^{\mathrm{DL}} = \tilde{\mathbf{U}}_{2}\tilde{\Lambda}_{2} \begin{bmatrix} \tilde{\mathbf{V}}_{2}^{\mathrm{non-zero}} & \tilde{\mathbf{V}}_{2}^{\mathrm{zero}} \end{bmatrix}^{H}$$

$$= \begin{bmatrix} \tilde{\mathbf{u}}_{21} & \tilde{\mathbf{u}}_{22} \end{bmatrix} \begin{bmatrix} \tilde{\lambda}_{21} & 0 & 0 & 0\\ 0 & \tilde{\lambda}_{22} & 0 & 0 \end{bmatrix} \begin{bmatrix} \tilde{\mathbf{v}}_{21} & \tilde{\mathbf{v}}_{22} & \tilde{\mathbf{v}}_{23} & \tilde{\mathbf{v}}_{24} \end{bmatrix}^{H}$$
(13.26)

$$\begin{split} \mathbf{W}_1 &= \tilde{\mathbf{V}}_1^{\text{zero}} = \begin{bmatrix} \tilde{\mathbf{v}}_{13} & \tilde{\mathbf{v}}_{14} \end{bmatrix} \\ \mathbf{W}_2 &= \tilde{\mathbf{V}}_2^{\text{zero}} = \begin{bmatrix} \tilde{\mathbf{v}}_{23} & \tilde{\mathbf{v}}_{24} \end{bmatrix} \end{split} \tag{13.27}$$

$$\mathbf{x} = \mathbf{W}_1 \tilde{\mathbf{x}}_1 + \mathbf{W}_2 \tilde{\mathbf{x}}_2 \tag{13.28}$$

$$\begin{aligned} \mathbf{y}_{1} &= \mathbf{H}_{1}^{\mathrm{DL}} \mathbf{x} + \mathbf{z}_{1} \\ &= \mathbf{H}_{1}^{\mathrm{DL}} (\mathbf{W}_{1} \tilde{\mathbf{x}}_{1} + \mathbf{W}_{2} \tilde{\mathbf{x}}_{2}) + \mathbf{z}_{1} \\ &= \tilde{\mathbf{H}}_{2}^{\mathrm{DL}} \left( \tilde{\mathbf{V}}_{1}^{\mathrm{zero}} \tilde{\mathbf{x}}_{1} + \tilde{\mathbf{V}}_{2}^{\mathrm{zero}} \tilde{\mathbf{x}}_{2} \right) + \mathbf{z}_{1} \end{aligned} \tag{13.29} \\ &= \tilde{\mathbf{H}}_{2}^{\mathrm{DL}} \tilde{\mathbf{V}}_{1}^{\mathrm{zero}} \tilde{\mathbf{x}}_{1} + \mathbf{z}_{1} \\ &= \mathbf{H}_{1}^{\mathrm{DL}} \tilde{\mathbf{V}}_{1}^{\mathrm{zero}} \tilde{\mathbf{x}}_{1} + \mathbf{z}_{1} \end{aligned}$$





$$\begin{bmatrix} y_1 \\ y_2 \\ y_3 \end{bmatrix} = \underbrace{\begin{bmatrix} \mathbf{H}_1^{\mathrm{DL}} \\ \mathbf{H}_2^{\mathrm{DL}} \\ \mathbf{H}_3^{\mathrm{DL}} \end{bmatrix}}_{\mathbf{H}^{\mathrm{DL}}} \begin{bmatrix} \tilde{x}_1 \\ \tilde{x}_2 \\ \tilde{x}_3 \end{bmatrix} + \begin{bmatrix} z_1 \\ z_2 \\ z_3 \end{bmatrix}$$
(13.30)

$$\mathbf{H}^{\mathrm{DL}} = \underbrace{\begin{bmatrix} l_{11} & 0 & 0 \\ l_{21} & l_{22} & 0 \\ l_{31} & l_{32} & l_{33} \end{bmatrix}}_{\mathbf{L}} \underbrace{\begin{bmatrix} \mathbf{q}_1 \\ \mathbf{q}_2 \\ \mathbf{q}_3 \end{bmatrix}}_{\mathbf{Q}}$$
(13.31)

$$\begin{bmatrix} y_1 \\ y_2 \\ y_3 \end{bmatrix} = \underbrace{\begin{bmatrix} \mathbf{H}_1^{\mathrm{DL}} \\ \mathbf{H}_2^{\mathrm{DL}} \\ \mathbf{H}_3^{\mathrm{DL}} \end{bmatrix}}_{\mathbf{H}^{\mathrm{DL}}} \mathbf{Q}^H \mathbf{x} + \begin{bmatrix} z_1 \\ z_2 \\ z_3 \end{bmatrix} = \begin{bmatrix} l_{11} & 0 & 0 \\ l_{21} & l_{22} & 0 \\ l_{31} & l_{32} & l_{33} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} + \begin{bmatrix} z_1 \\ z_2 \\ z_3 \end{bmatrix}.$$
(13.32)

$$y_1 = l_{11}x_1 + z_1. (13.33)$$

$$x_1 = \tilde{x}_1 \tag{13.34}$$

$$y_2 = l_{21}x_1 + l_{22}x_2 + z_2 = l_{21}\tilde{x}_1 + l_{22}x_2 + z_2.$$
(13.35)

$$x_2 = \tilde{x}_2 - \frac{l_{21}}{l_{22}} x_1 = \tilde{x}_2 - \frac{l_{21}}{l_{22}} \tilde{x}_1$$
(13.36)

$$y_3 = l_{31}x_1 + l_{32}x_2 + l_{33}x_3 + z_3.$$
(13.37)

$$x_3 = \tilde{x}_3 - \frac{l_{31}}{l_{33}} x_1 - \frac{l_{32}}{l_{33}} x_2 \tag{13.38}$$

$$\begin{bmatrix} x_{1} \\ \tilde{x}_{2} \\ \tilde{x}_{3} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \tilde{x}_{1} \\ \tilde{x}_{2} \\ \tilde{x}_{3} \end{bmatrix}, \qquad (13.39)$$

$$\begin{bmatrix} x_{1} \\ x_{2} \\ \tilde{x}_{3} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ -\frac{l_{21}}{l_{22}} & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_{1} \\ \tilde{x}_{2} \\ \tilde{x}_{3} \end{bmatrix}, \qquad (13.40)$$

$$\begin{bmatrix} x_{1} \\ x_{2} \\ x_{3} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ -\frac{l_{31}}{l_{33}} & -\frac{l_{32}}{l_{33}} & 1 \end{bmatrix} \begin{bmatrix} x_{1} \\ x_{2} \\ \tilde{x}_{3} \end{bmatrix} \qquad (13.41)$$

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ -\frac{l_{31}}{l_{33}} & -\frac{l_{32}}{l_{33}} & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ -\frac{l_{21}}{l_{22}} & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \tilde{x}_1 \\ \tilde{x}_2 \\ \tilde{x}_3 \end{bmatrix}$$

$$= \begin{bmatrix} 1 & 0 & 0 \\ -\frac{l_{21}}{l_{22}} & 1 & 0 \\ -\frac{l_{31}}{l_{33}} + \frac{l_{32}}{l_{33}}\frac{l_{21}}{l_{22}} & -\frac{l_{32}}{l_{33}} & 1 \end{bmatrix} \begin{bmatrix} \tilde{x}_1 \\ \tilde{x}_2 \\ \tilde{x}_3 \end{bmatrix}.$$
(13.42)

$$\begin{bmatrix} y_{1} \\ y_{2} \\ y_{3} \end{bmatrix} = \begin{bmatrix} l_{11} & 0 & 0 \\ l_{21} & l_{22} & 0 \\ l_{31} & l_{32} & l_{33} \end{bmatrix} \begin{bmatrix} x_{1} \\ x_{2} \\ x_{3} \end{bmatrix} + \begin{bmatrix} z_{1} \\ z_{2} \\ z_{3} \end{bmatrix}$$
$$= \begin{bmatrix} l_{11} & 0 & 0 \\ l_{21} & l_{22} & 0 \\ l_{31} & l_{32} & l_{33} \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ -\frac{l_{21}}{l_{22}} & 1 & 0 \\ -\frac{l_{31}}{l_{33}} + \frac{l_{32}}{l_{33}} \frac{l_{21}}{l_{22}} & -\frac{l_{32}}{l_{33}} & 1 \end{bmatrix} \begin{bmatrix} \tilde{x}_{1} \\ \tilde{x}_{2} \\ \tilde{x}_{3} \end{bmatrix} + \begin{bmatrix} z_{1} \\ z_{2} \\ z_{3} \end{bmatrix}$$
$$= \begin{bmatrix} l_{11} & 0 & 0 \\ 0 & l_{22} & 0 \\ 0 & 0 & l_{33} \end{bmatrix} \begin{bmatrix} \tilde{x}_{1} \\ x_{2} \\ \tilde{x}_{3} \end{bmatrix} + \begin{bmatrix} z_{1} \\ z_{2} \\ z_{3} \end{bmatrix}$$
(13.43)

$$\begin{bmatrix} 1 & 0 & 0 \\ -\frac{l_{21}}{l_{22}} & 1 & 0 \\ -\frac{l_{31}}{l_{33}} + \frac{l_{32}}{l_{33}}\frac{l_{21}}{l_{22}} & -\frac{l_{32}}{l_{33}} & 1 \end{bmatrix} = \begin{bmatrix} l_{11} & 0 & 0 \\ l_{21} & l_{22} & 0 \\ l_{31} & l_{32} & l_{33} \end{bmatrix}^{-1} \begin{bmatrix} l_{11} & 0 & 0 \\ 0 & l_{22} & 0 \\ 0 & 0 & l_{33} \end{bmatrix}$$
(13.44)

$$l_{u_1^*u_1^*} \ge l_{u_2^*u_2^*} \ge l_{u_3^*u_3^*} \ge l_{u_4^*u_4^*} \ge l_{uu}$$
(13.45)





$$c = x + 2A \cdot m \tag{13.46}$$

$$x = \operatorname{mod}_{A}(c) \triangleq c - 2A \lfloor (c+A)/2A \rfloor$$
(13.47)

$$\operatorname{mod}_{A}(x) = x - 2A \lfloor (x + A + jA)/2A \rfloor$$
(13.48)



$$x_1 < x_2 \Leftrightarrow \operatorname{Re}\{x_1\} < \operatorname{Re}\{x_2\} \text{ and } \operatorname{Im}\{x_1\} < \operatorname{Im}\{x_2\}.$$
(13.50)

$$\operatorname{mod}_{A}(x) = x + 2A \cdot m + j \, 2A \cdot n \tag{13.51}$$

$$x_1^{\rm TH} = \operatorname{mod}_A(\tilde{x}_1) = \tilde{x}_1 \tag{13.52}$$

$$x_2^{\rm TH} = \text{mod}_A \left( \tilde{x}_2 - \frac{l_{21}}{l_{22}} x_1^{\rm TH} \right)$$
(13.53)

$$x_3^{\rm TH} = \text{mod}_A \left( \tilde{x}_3 - \frac{l_{31}}{l_{33}} x_1^{\rm TH} - \frac{l_{32}}{l_{33}} x_2^{\rm TH} \right)$$
(13.54)

$$x_1^{\rm TH} = \tilde{x}_1 \tag{13.55}$$

$$x_2^{\text{TH}} = \tilde{x}_2 - \frac{l_{21}}{l_{22}} \tilde{x}_1 + 2A \cdot m_2 + j \, 2A \cdot n_2 \tag{13.56}$$

$$x_3^{\text{TH}} = \tilde{x}_3 - \frac{l_{31}}{l_{33}} x_1^{\text{TH}} - \frac{l_{32}}{l_{33}} x_2^{\text{TH}} + 2A \cdot m_3 + j \, 2A \cdot n_3$$
(13.57)

$$\begin{bmatrix} y_1 \\ y_2 \\ y_3 \end{bmatrix} = \underbrace{\begin{bmatrix} \mathbf{H}_1^{\mathrm{DL}} \\ \mathbf{H}_2^{\mathrm{DL}} \\ \mathbf{H}_3^{\mathrm{DL}} \end{bmatrix}}_{\mathbf{H}^{\mathrm{DL}}} \mathbf{Q}^H \mathbf{x}^{\mathrm{TH}} + \begin{bmatrix} z_1 \\ z_2 \\ z_3 \end{bmatrix} = \begin{bmatrix} l_{11} & 0 & 0 \\ l_{21} & l_{22} & 0 \\ l_{31} & l_{32} & l_{33} \end{bmatrix} \begin{bmatrix} x_1^{\mathrm{TH}} \\ x_2^{\mathrm{TH}} \\ x_3^{\mathrm{TH}} \end{bmatrix} + \begin{bmatrix} z_1 \\ z_2 \\ z_3 \end{bmatrix}$$
(13.58)

$$y_2 = l_{21}x_1^{\text{TH}} + l_{22}x_2^{\text{TH}} + z_2 = l_{21}\tilde{x}_1 + l_{22}x_2^{\text{TH}} + z_2.$$
(13.59)

$$y_{2} = l_{21}\tilde{x}_{1} + l_{22}\left(\tilde{x}_{2} - \frac{l_{21}}{l_{22}}\tilde{x}_{1} + 2A \cdot m_{2} + j \, 2A \cdot n_{2}\right) + z_{2}$$

$$= l_{22}(\tilde{x}_{2} + 2A \cdot m_{2} + j \, 2A \cdot n_{2}) + z_{2}$$
(13.60)

$$\tilde{y}_2 = \frac{y_2}{l_{22}} = \tilde{x}_2 + 2A \cdot m_2 + j \, 2A \cdot n_2 + \frac{z_2}{l_{22}} \tag{13.61}$$

$$\hat{\tilde{x}}_2 = \operatorname{mod}_A(\tilde{y}_2). \tag{13.62}$$

$$-A \le \tilde{x}_2 + \frac{z_2}{l_{22}} < A,\tag{13.63}$$

$$\operatorname{mod}_{A}(\tilde{y}_{2}) = \tilde{y}_{2} - 2A \left[ \frac{(\tilde{y}_{2} + A + jA)}{2A} \right] = \tilde{y}_{2} - 2A(m_{2} + jn_{2}) = \tilde{x}_{2} + \frac{z_{2}}{l_{22}}.$$
 (13.64)

$$y_3 = l_{31}s_1^{\rm TH} + l_{32}s_2^{\rm TH} + l_{33}s_3^{\rm TH} + z_3.$$
(13.65)

$$y_{3} = l_{31}x_{1}^{\text{TH}} + l_{32}x_{2}^{\text{TH}} + l_{33}x_{3}^{\text{TH}} + z_{3}$$
  
=  $l_{31}x_{1}^{\text{TH}} + l_{32}x_{2}^{\text{TH}} + l_{33}\left(\tilde{x}_{3} - \frac{l_{31}}{l_{33}}x_{1}^{\text{TH}} - \frac{l_{32}}{l_{33}}x_{2}^{\text{TH}} + 2A \cdot m_{3} + j \, 2A \cdot n_{3}\right) + z_{3}$  (13.66)  
=  $l_{33}(\tilde{x}_{3} + 2A \cdot m_{3} + j \, 2A \cdot n_{3}) + z_{3}$ .

$$\hat{\tilde{x}}_3 = \operatorname{mod}_A(\tilde{y}_3) \tag{13.67}$$

$$\tilde{y}_3 = \frac{y_3}{l_{33}} = x_3 + 2A \cdot m_3 + j \, 2A \cdot n_3 + \frac{z_3}{l_{33}}.$$











